Sclerotigenin: A New Antiinsectan Benzodiazepine from the Sclerotia of Penicillium sclerotigenum

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A new benzodiazepine, sclerotigenin (1), was isolated from organic extracts of the sclerotia of *Penicillium* sclerotigenum (NRRL 3461) along with two known griseofulvin analogues. The structure of 1 was determined primarily by analysis of ¹H NMR, ¹³C NMR, HMQC, and HMBC data. Compound **1** was the major component of the CH₂Cl₂ extract of *P. sclerotigenum* sclerotia and is responsible for most of the antiinsectan activity of the extract against the crop pest Helicoverpa zea.

Many fungi produce reproductive structures called sclerotia to facilitate survival and propagation of the species. We have previously reported a variety of antiinsectan natural products from the sclerotia of Aspergillus spp. which may play a role in the longevity of these bodies. Hence, we have undertaken studies of the sclerotia of other fungi as potential sources of antiinsectan metabolites.¹⁻⁴ During the course of this work, chemical investigation of the sclerotia of Penicillium sclerotigenum Yamamoto (Trichocomaceae) (NRRL 3461) led to the isolation of a new antiinsectan benzodiazepine, which we named sclerotigenin (1), and the known compounds griseofulvin and dechlorogriseofulvin.^{5,6} Details of these studies are described here.



Sclerotia of P. sclerotigenum were produced by solidsubstrate fermentation on corn kernels. The CH₂Cl₂ extract of the sclerotia displayed activity against the corn earworm Helicoverpa zea. Fractionation of the extract by Sephadex LH-20 column chromatography, followed by reversed-phase HPLC, afforded sclerotigenin (1) as the major antiinsectan component.

Sclerotigenin has the molecular formula C₁₆H₁₁N₃O₂ (13 degrees of unsaturation), as deduced from ¹³C NMR (Table 1), DEPT, and HRFABMS [(M + H)⁺ at 278.0934, Δ 0.5 mmu] data. The NMR data indicated the presence of one methylene unit, one exchangeable proton, eight protonated sp² carbons, and seven nonprotonated sp² carbons. Two 1,2disubstituted benzenoid units and an NHCH₂ spin system were identified from the ¹H NMR and COSY data, and the NH signal (a broad triplet at δ 7.19) was suggestive of a secondary amide group.

Table 1. NMR Data for Sclerotigenin (1) in CDCl₃

	$\delta_{ m H}$		HMBC
position	(multiplicity, $J_{\rm HH}$) ^a	$\delta_{C}{}^{b}$	correlations (C-#)
1	7.19 (br t, 6)		3, 19
2		168.2	
3		129.8	
4	7.95 (br d, 7.8)	130.3	2, 6, 8
5	7.53 (m)	129.1	3, 7
6	7.62 (ov. m)	131.4	4, 8
7	7.61 (ov. m)	128.1	3, 5, 8
8		133.7	
10		161.3	
11		121.5	
12	8.29 (dd, 8.0, 1.4)	127.6	10, 14, 16
13	7.52 (m)	127.8	11, 15
14	7.78 (ddd, 8.1, 8.1, 1.4)	135.1	12, 16
15	7.66 (br d, 8.1)	127.3	11, 13
16		146.2	
18		153.6	
19	4.29 (dd, 15, 5.7)	47.0	2, 18
	4.21 (dd, 15, 6.9)		2, 18

^a Recorded at 600 MHz. ^b Recorded at 75 MHz.

Analysis of HMBC and HMQC data established most of the connectivity of the molecule and led to the assignment of the carbon chemical shifts for 1. The aromatic proton signal at δ 7.95 (H-4) and the methylene signals for H₂-19 (δ 4.29 and δ 4.21) each correlated to a carbonyl signal at δ 168.2 (C-2), and the triplet at δ 7.19 (NH-1) correlated to another aromatic carbon on the same ring (C-3; δ 129.8). On the basis of these data and chemical shift considerations, C-2 was assigned to an amide carbonyl carbon linking the C-3–C-8 aromatic ring and the NHCH₂ group.

According to HMBC results, two downfield-shifted signals represented nonprotonated sp² carbons that were not members of either benzenoid ring. An aromatic proton belonging to the second disubstituted benzenoid unit (δ 8.29; H-12) revealed an HMBC correlation to one of these signals (δ 161.3; C-10), suggesting the attachment of C-10 to this aromatic ring at C-11. The methylene protons H₂-19 correlated with the second nonbenzenoid sp² carbon signal at δ 153.6 (C-18). At this point, two nitrogen atoms, an oxygen atom, and four degrees of unsaturation remained to be assigned. These requirements, in addition to the downfield chemical shifts of carbons C-8, C-16, and C-18, led to the assignment of a fused quinazolino benzodiazepinedione structure for sclerotigenin, as shown in 1.

The carbon chemical shift assignments for **1** are consistent with spectral data for the fused quinazolino benzodi-

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azepinedione portions of the closely related fungal metabolites asperlicin, a potent nonpeptidal cholecystokinin antagonist isolated from Aspergillus alliaceus,7-10 and benzomalvin A, a substance-P inhibitor isolated from Penicillium sp.¹¹ Compound 1 is most closely related to asperlicin C, which contains a tryptophan unit rather than the glycine moiety present in 1.¹² Asperlicin appears to be biosynthesized from two equivalents of anthranilate and one each of tryptophan and leucine.^{12,13} Sclerotigenin consists of the fused quinazolino benzodiazepinedione portion of the asperlicin structure, suggesting that it is biosynthesized from two anthranilate moieties and a glycine unit.

Although sclerotigenin contains no chiral centers, its ¹H NMR spectrum revealed that the C-19 methylene protons are inequivalent (ABX pattern with the amide proton; δ 3.99 and 4.18 in DMSO- d_6 solution). These observations are consistent with NMR behavior reported for some other 1,4-benzodiazepines, including benzomalvins A and D^{11,14} and diazepam analogues,¹⁵ but sclerotigenin appears to be the first example containing a secondary amide group. These data and consideration of literature reports suggest that 1 exists as a mixture of enantiomeric conformers for which there is a significant barrier to interconversion, thereby effectively rendering the C-19 protons diastereotopic. In an effort to overcome this barrier, a variabletemperature ¹H NMR experiment was conducted in DMSO d_6 solution. As the probe temperature was increased, the methylene multiplets gradually converged and eventually coalesced to a broad doublet centered at δ 4.13 when the spectrum was measured at 411°K. As expected, the multiplets were again observed when the spectrum was recorded upon return to 298 K. The closest reported analogy to this experiment describes results for three 1,4benzodiazepines that also lack chiral centers.¹⁵ Although this precedent employed 2-oxo-1,4-benzodiazepines, rather than 5-oxo-1,4-benzodiazepines, the 3-position was similarly unsubstituted. The methylene protons of N-desmethyldiazepam (12.3 kcal/mol inversion barrier) resonated as a singlet at room temperature, while those of N-methyldiazepam (17.6 kcal/mol barrier) showed an AB pattern that coalesced at elevated temperature. The N-tert-butyl diazepam analogue (>24 kcal/mol barrier) also displayed an AB pattern, but these signals did not collapse at temperatures up to 473 K. Although the amide nitrogen in 1 is unsubstituted, the observed behavior of 1 most closely approximates results reported for N-methyldiazepam, suggesting that the barrier to interconversion in 5-oxo-1,4-benzodiazepines is somewhat higher. Calculation¹⁶ of the corresponding value for 1 using the variable temperature NMR data described above indicated an interconversion barrier of approximately 20 kcal/mol, which is fully consistent with these conclusions.

Sclerotigenin (1) was the major component of the P. sclerotigenum extract, and caused a 42% reduction in growth rate relative to controls in assays against first instar larvae of *H. zea* when incorporated into a standard test diet at 200 ppm. Griseofulvin and dechlorogriseofulvin were also isolated from the *P. sclerotigenum* sclerotial extract. These compounds have been previously isolated from a variety of fungi, including Penicillium and Aspergil*lus* spp.,^{4–6} and were identified by comparison of MS and NMR data with literature values.^{5,6} In fact, to our knowledge, the only prior report of any chemistry from P. sclerotigenum is part of a systematic survey of Penicillium chemistry which notes that it produces roquefortine C and griseofulvin.¹⁷ Griseofulvin has been reported to exhibit

activity against *H. zea* with potency similar to that of 1,¹⁸ but since griseofulvin and dechlorogriseofulvin are relatively minor components of the crude extract of P. sclerotigenum, the majority of the activity was accounted for by the levels of sclerotigenin present.

Experimental Section

General Experimental Procedures. The culture of P. sclerotigenum Yamamoto (NRRL 3461) was obtained from the Agricultural Research Service culture collection at the National Center for Agricultural Utilization Research in Peoria, Illinois. P. sclerotigenum was received by the ARS culture collection in 1969 from the Commonwealth Mycological Institute as IMI 68616. The culture was isolated from a rotting tuber of a Chinese yam (*Dioscorea batatas* f. tukune Makino) from Sasayama, Hyogo Prefecture, Japan. Sclerotia were produced by solid-substrate fermentation of *P. sclerotigenum* on autoclaved corn kernels and harvested using procedures previously described for the production of sclerotia from Aspergillus spp.¹⁹ Protocols used for the insect bioassay have been reported elsewhere.²⁰

¹³C NMR and DEPT spectra were obtained using a Bruker AC-300 instrument. ¹H NMR, COSY, HMQC, and HMBC experiments were performed on a Bruker AMX-600 spectrometer. HMQC and $\hat{H}MBC$ data were optimized for ${}^{1}J_{CH} = 152$ Hz and ${}^{n}J_{CH} = 8$ Hz, respectively. All NMR spectra were recorded using CDCl₃ solutions, and chemical shift values were referenced to the corresponding solvent signals ($\delta_{\rm H}$ 7.24/ $\delta_{\rm C}$ 77.0). FABMS data were recorded on a VG ZAB-HF mass spectrometer. HPLC separations were accomplished using a Rainin Dynamax-100 Å C_{18} column (5- μ m particle size, 1.0 \times 25 cm) at a flow rate of 3 mL/min with UV detection at 215 nm.

Isolation of Sclerotigenin (1), Griseofulvin, and Dechlorogriseofulvin. The ground sclerotia (14.5 g) were extracted sequentially with hexane, CH₂Cl₂, EtOAc, and MeOH using 3 \times 500 mL of each solvent. The antiinsectan CH₂Cl₂ extract (650 mg) was fractionated on a Sephadex LH-20 column, eluting successively with 4:1 hexane-CH₂Cl₂, 3:2 CH₂Cl₂acetone, and 4:1 acetone-CH₂Cl₂. Five fractions were collected, and the final fraction (202 mg) was further separated on a second Sephadex LH-20 column, eluting with 3:2:1 hexanetoluene-MeOH to give three subfractions. The third subfraction (29 mg) was purified by reversed-phase HPLC using a linear gradient of 20→100% CH₃CN in H₂O over 25 min to afford sclerotigenin (1; 20 mg).

The fourth fraction from the initial Sephadex LH-20 column (70 mg) was separately fractionated on a Sephadex LH-20 column and eluted with 3:2:1 hexane-toluene-MeOH to give four subfractions. The first subfraction (53 mg) was purified by preparative reversed-phase HPLC using a linear gradient of $40 \rightarrow 100\%$ CH₃CN in H₂O over 25 min to afford griseofulvin (5 mg) and dechlorogriseofulvin (2 mg). Properties of the latter two compounds have been previously reported.^{5,6}

Sclerotigenin (1): white solid; mp 235–238 °C; $[\alpha]_D 0^\circ (c$ 0.07 mg/mL, CHCl₃); HPLC $t_{\rm R}$ 16.5 min under the conditions above; UV λ_{max} (MeOH) 235 (ϵ 7800), 271 (3700), 311 (1600); IR (CH₂Cl₂) $\nu_{\rm max}$ 3406, 2928, 2854, 1695, 1458, 1263 cm⁻¹ EIMS (70 eV) m/z 277 (M⁺; 100), 276 (64), 248 (39), 220 (32), 192 (20), 102 (25), 76 (25); FABMS (DTT-DTE matrix) *m*/*z* 278 $[(M + H)^+, 100]$; ¹H NMR, ¹³C NMR, and HMBC data, see Table 1; HRFABMS $(M + H)^+$ obsd 278.0934, calcd for $C_{16}H_{11}N_3O_2 + H$, 278.0929.

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